06-05-01   𝐴

Type a plus sign (+) inside this box→ [+]

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1 53(b))

Attorney Docket No.	60709-00017
First Inventor	Egidio Lavorgna, Jr., et al
Title	SYSTEMS AND METHODS FOR MANAGING BUSINESS METRICS
Express Mail Label No	EL817584197US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g.,PTO/SB/17)
   (Submit an original, and a duplicate for fee processing)

2. ☐ Application claims small entity status.
   See 37 CFR 1 27

3. ☒ Specification [*Total Pages* 43]
   (Preferred arrangement set forth below)
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table, or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. ☒ Drawing(s) *(35 USC 113)* [*Total Sheets* 67]

5. ☐ Oath or Declaration [*Total Pages* ___]

   a. ☐ Newly executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 18 completed)

      i ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1 33(b).

6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(If applicable, all necessary)*

   a ☐ Computer Readable Form (CRF)

   b Specification Sequence Listing on:
      i ☐ CD-ROM or CD-R (2 copies), or
      ii ☐ paper

   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement
    (when there is an assignee) ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
    (If foreign priority is claimed)

16. ☐ Request and Certification under 35 U S C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

17. ☒ Other· Express Mail Certificate; Declaration and Power of Attorney (for identification purposes only)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1 76

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No.: ____ / ____

Prior application information   Examiner ____   Group/Art Unit ____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label* (Insert Custom No or Attach bar code label here) or ☒ *Correspondence address below*

Name	John S Beulick
	Armstrong Teasdale LLP
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City	St. Louis	State	Missouri	Zip Code	63102
Country	US	Telephone	(314) 621-5070	Fax	(314) 621-5065

Name (Print/type)	Gordon F. Sieckmann	*Registration No (Attorney/Agent)*	28,667
Signature		*Date*	June 4, 2001

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
## THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: **EL817584197US**

Date of Mailing: **June 4, 2001**

I certify that the attached utility patent application of **EGIDIO LAVORGNA, JR., RAMESH THURAVIL** and **RICHARD METZ** for **SYSTEMS AND METHODS FOR MANAGING BUSINESS METRICS (Attorney Docket No. 60709-00017)** including:

- Utility Patent Application Transmittal (1 pg.)

- Fee Transmittal (in duplicate) (1 pg.)

- Declaration and Power of Attorney (2 pgs.)(submitted for purposes of inventor identification only)

- Thirty-seven (37) pages of specification; five (5) pages of claims; one (1) page of abstract

- Sixty-seven (67) sheets of drawings

- Certificate of Mailing Via Express Mail (1 pg.)

- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.
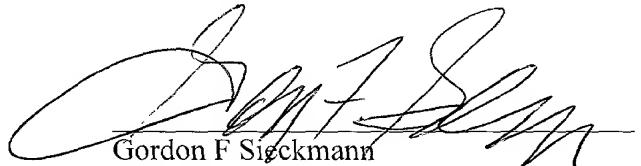
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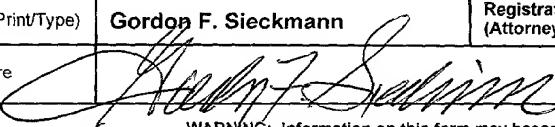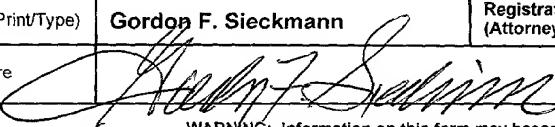
PTO/SB/17 (11/00)
Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision

Complete If Known	
Application Number	
Filing Date	
First Named Inventor	Egidio Lavorgna, Jr., et al.
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT	($)1,354.00	**Attorney Docket Number**	60709-00017

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number: 01-2384

Deposit Account Name:

☒ Charge Any Additional Fee Required
Under 37 CFR 1 16 and 1 17

☐ Applicant claims small entity status.
See 37 CFR 1 16 and 1 17

2. ☐ **Payment Enclosed·**

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility Filing Fee	710 00
106	320	206	160	Design Filing Fee	
107	490	207	245	Plant Filing Fee	
108	710	208	355	Reissue filing Fee	
114	150	214	75	Provisional Filing Fee	
				SUBTOTAL (1)	710.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee From Below		Fee Paid
Total Claims	38	-20** 18	x 18 00 =	324 00
Independent Claims	7	-3* 4	x 80 00 =	320.00
Multiple Dependant			x =	

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2): 644.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second Month	
117	890	217	445	Extension for reply within third month	
118	1390	218	695	Extension for reply within fourth month	
128	1890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1240	241	620	Petition to revive – unintentional	
142	1240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after a final rejection (37-CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid   SUBTOTAL (3): -0-

SUBMITTED BY

Name (Print/Type)	Gordon F. Sieckmann	Registration No. (Attorney/Agent)	28,667

Complete (if applicable)

Telephone	(314)621-5070

Signature: _____

Date: June 4, 2001